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I.S. EN 1064:2020

Health informatics - Standard communication protocol - Computer- assisted electrocardiography

I.S. EN 1064:2020

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National Foreword

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EUROPEAN STANDARD

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English Version

Health informatics - Standard communication protocol - Computer-assisted electrocardiography

Informatique de santé - Protocole de communication
standard - Électrocardiographie assistée par
ordinateur

Medizinische Informatik -
Standardkommunikationsprotokoll -
Computergestützte Elektrokardiographie

This European Standard was approved by CEN on 22 June 2020.

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EN 1064:2020 (E)

Contents	Page
European foreword	3
Introduction	4
1 Scope	9
2 Normative references	9
3 Terms and definitions	9
4 Symbols and abbreviated terms	11
5 Definition of the data contents and format	12
5.1 General considerations	12
5.2 Specifications for the data structure	13
5.3 Pointer section – Section 0	18
5.4 Header information - Patient data / ECG metadata – Section 1	20
5.5 Huffman tables – Section 2	36
5.6 ECG leads definition – Section 3	38
5.7 Reserved for legacy SCP-ECG versions – Section 4	47
5.8 Encoded type 0 reference beat data – Section 5	49
5.9 Short-term ECG Rhythm data – Section 6	52
5.10 Global ECG measurements – Section 7	54
5.11 Storage of full text interpretive statements – Section 8	68
5.12 Storage of manufacturer specific interpretive statements and data related to the overreading trail – Section 9	69
5.13 Per-lead ECG measurements – Section 10	69
5.14 Storage of the universal ECG interpretive statement codes – Section 11	78
5.15 Long-term ECG rhythm data – Section 12	82
5.16 Stress tests, Drug trials and Protocol based ECG recordings Metadata – Section 13	87
5.17 Selected ECG Sequences Repository – Section 14	98
5.18 Beat-by-Beat ECG measurements and annotations – Section 15	102
5.19 Selected ECG beats measurements and annotations – Section 16	117
5.20 Pacemaker Spikes measurements and annotations – Section 17	126
5.21 Additional ECG annotations – Section 18	140
Annex A (normative) Supplementary information and additional encoding specifications	147
Annex B (informative) Universal ECG interpretation statements codes	155
Annex C (informative) Definition of compliance with the SCP ECG standard	181
Annex D (Informative) Methodology of the recommended ECG signal compression technique ..	190
Annex E (informative) Cross-references to other ECG standards	226
Annex F (informative) Implementation Recommendations	229
Annex G (informative) Glossary	231
Annex H (informative) Revision History	233
Bibliography	236

European foreword

This document (EN 1064:2020) has been prepared by Technical Committee CEN/TC 251 “Health informatics”, the secretariat of which is held by NEN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by February 2021, and conflicting national standards shall be withdrawn at the latest by February 2021.

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EN 1064:2020 (E)

Introduction

The electrocardiogram (ECG) is a recording of voltage changes transmitted to the body surface by electrical events in the heart muscle, providing direct evidence of cardiac rhythm and conduction, and indirect evidence of certain aspects of myocardial anatomy, blood supply and function. During its propagation to the surface, extracardiac tissues may intervene and influence the ECG morphology.

Electrocardiography has been used for many years, and is increasingly used as a key, non-invasive and low cost method in the diagnosis and early detection of coronary heart disease, which is the leading cause of mortality worldwide [56]¹. Of the 56.9 million global deaths in 2016, 40.5 million (71.3 %) were due to non-communicable diseases (NCDs) and 17.9 million (31 %) were due to cardiovascular diseases (CVDs). Out of these 17.9 million cardiovascular deaths, ischaemic heart disease was responsible for 9.4 million and strokes were responsible for 5.8 million deaths. More than 3 million of these 17.9 million CVD deaths occurred before the age of 60. The percentage of premature deaths from CVDs ranges from 8.8 % in high-income countries to 26 % in low-income countries [56].

In 2018, it was estimated that, worldwide, approximately 3 million ECGs are recorded every day [41]. The Mayo Clinic, for example, nowadays performs about 240,000 standard ECG recordings per year [57]. According to Research And Markets, the Global Electrocardiography Devices (ECG) Market accounted for \$5,122 million in 2018 and is expected to reach \$9,738 million by 2027 [58]. The factors driving this market include the increasing geriatric population, rising incidences of lifestyle diseases, technological advancements in diagnostic ECG devices, and high growth rate in developing countries.

Almost all newer electrocardiographs nowadays use digital recording, interpretation and communication techniques, and there is an increasing number of portable and even wearable (mobile) ECG devices that are now used instead of the traditional ECG cart. These stand-alone, microprocessor based machines and devices can be connected to each other, to a host computer, to the internet or to a hospital information system for reporting, long-term storage in the Medical Electronic Record and serial comparison. To this end, various manufacturers have used different techniques.

It is in the general public interest for users not to be restricted in their options by incompatible technical features and services of different systems and devices. ECG processing is increasingly being integrated with various other types of data processing in health care. This evolution will have considerable impact on the storage and communication of ECG data. There are many different end-users who for different purposes (support of patient care, management, drug trials and/or drug management, research and education) want to obtain a copy of the signal data, of the interpretive report and/or measurement results. Being one of the very first ever developed systems for medical decision support, computerized ECG interpretation stretches from departments of cardiology in hospitals, to general practitioners in primary care and health care centres and to home care. In life-threatening acute myocardial infarction, ECGs are now used in ambulances by paramedical personnel to assess the necessity for administering thrombolytic agents or to alert cathlabs to prepare for a coronary intervention, with long-distance monitoring whenever possible, and in self-care situations to detect ischemia or life threatening arrhythmias as early as possible [31].

To facilitate the exchange of information between various systems, it was of utmost importance that a standard communications protocol for computer-aided electrocardiography (SCP-ECG) was established, as defined in this document. Its aim is to specify a data format for transferring ECG signals, metadata and reports from any vendor's computerized ECG device to any other's vendor central ECG management system. The same standard should also allow standardized transfer of digitised ECG data and results between various computer and information systems, Electronic Medical Records, and ECG data repositories.

¹ Figures in square brackets refer to the Bibliography.

Under this standard communications protocol (SCP-ECG), the contents and format of the ECG waveform data, metadata and the measurements from ECG devices of different manufacturers are not expected to be identical. As a result, the determination of the suitability of a device and/or system for any particular application remains with the user/purchaser. The following possible uses of ECG records require special attention:

- serial comparison of ECGs and interpretations;
- printout formats of ECGs;
- maintaining an audit trail of edits and annotations;
- integration into an electronic medical record;
- integration into clinical information systems and data repositories.

The users are cautioned to make sure that the data contents and format of the waveform data, metadata, measurements, and the interpretive statements meet their specific needs. If more than one type of ECG device and/or ECG management system is interconnected, the user is also advised to verify with the manufacturers that the data from different systems and devices are compatible with each other and with the user's needs.

In order to understand this document, the reader needs some basic knowledge of electrocardiology, electrocardiography and signal processing.

This document not only relates to the conventional recording of the electrocardiogram, i.e. the so-called standard 12-lead electrocardiogram and the vectorcardiogram (VCG), but also to other types of ECG such as Holter ECG, physiologic monitoring ECG, stress ECG, intracardiac ECG, home care ECG monitoring and wearable self-care ECG devices. Initially, the electric connections used for recording the ECG were made to the limbs only. These connections to the right arm (RA), left arm (LA) and left leg (LL) were introduced by Einthoven. The electrical variations detected by these electrode connections are algebraically combined to form the bipolar leads I, II, and III. Lead I, for example records the difference between the voltages of the electrodes placed on the left arm and the right arm. The unipolar electrocardiographic leads (VR, VL, VF and the precordial leads V1 to V6) were introduced much later, starting in 1933. In these leads, potentials are recorded at one location with respect to a level which does not vary significantly in electrical activity during cardiac contraction. The "augmented" limb lead potentials (aVR, aVL, aVF) are recorded with reference to the average potential of (L+F), (R+F) and (L+R) respectively, where R, L and F refer to the RA, LA and LL electrodes. The unipolar chest leads are recorded with reference to the average potential of $(RA+RL+LL)/3$ which is called the Wilson "central terminal" (CT). In vectorcardiography, recordings are made from three mutually orthogonal leads, running parallel to one of the rectilinear coordinate axes of the body. The axes are the X-axis going right to left, the Y-axis with a top to bottom orientation, and the Z or front to back axis. In 12-Lead stress ECG recordings, the limb electrodes are placed on the torso to reduce limb movement artefacts. The same electrode positions apply to some Holter, emergency and home care recordings, both to limit movement artefacts and undressing.

In some research centres, so-called body surface maps are obtained by placing many (from 24 to 124 or even more) closely spaced electrodes around the torso. This document has not been designed to handle exchange of such recordings, although future extensions could be made to this end. The standard has also not been designed to exchange specialized recordings of intracardiac potentials (electrograms) recorded in the EP (Electrophysiology) laboratories or by cardiac implantable electronic devices (CIED), viz pacemakers, implantable cardioverter-defibrillators (ICDs) and cardiac resynchronization therapy (CRT) devices, although it could also be used to this intent.

ECG computer processing can be reduced to 3 principal stages:

- 1) data acquisition, encoding, transmission and storage;

EN 1064:2020 (E)

- 2) pattern recognition and feature extraction, i.e. ECG measurement;
- 3) diagnostic classification.

In each of these stages there are important needs for standardization and quality assurance testing. The scope of this document is confined to the first of these three stages. Quality assurance of ECG measurement and diagnostic classification have been addressed by the CSE Working Party (see [32] and [44] to [50]) and to some extent by IEC 60601-2-25:2011² [4]. The latter also addresses the issue of quality assurance testing of the signal acquisition hardware and filtering.

The various data sections that shall be transmitted by means of the standard ECG communications protocol are defined in Clause 5 of this document.

The selection and definition of ECG specific high-level syntaxes and query languages for transfer of messages and data between devices or between devices and hosts or host-to-hosts, using for example Bluetooth, TCP/IP, FTP, USB, Filesystem, HL7, etc., are beyond the scope of this document.

The main goal of the SCP-ECG standard is to address ECG data and related metadata structuring, semantics and syntax, with the objective of facilitating interoperability and thus to support and promote the exchange of the relevant information for ECG diagnosis. Indeed, as recommended by the ACC/AHA/ACP-ASIM task force: "Electrocardiogram readers should understand the importance of comparing a current tracing to previous tracings in order to make correct diagnoses. All abnormal tracings should be compared with available previous tracings. The accuracy of some diagnoses may be considerably enhanced by reviewing previous tracings." [33]. It is thus of utmost importance to provide a storage format enabling any device or computer program performing the analysis and interpretation of a current ECG to perform a reliable re-analysis of the previous ECGs. For assessing serial changes between ECG measurements it is necessary that the measurements are computed in the same way on each recording in order to avoid any bias.

The binary encoding of ECG data within SCP-ECG and the included content self-control capabilities allow for an efficient encoding, an encapsulation of all ECG-related parameters, and a small memory footprint compliant with mHealth scenarios for an early detection of cardiac diseases, anywhere and anytime [31], [39]. These features not only provide an advantage in data transmission and archiving, but also when the data need to be encrypted (for protecting the data and the confidentiality), or signed (protection against changes).

The present version of the SCP-ECG standard has been significantly amended, with the objective to provide means to support the storage and interchange of almost all existing ECG recording modalities, processing results, annotations and diagnoses, as well as precisely defined metadata enabling the harmonization with other standards in health informatics. The main changes are summarized hereafter.

The ECG data and related metadata addressed in this document are now structured into 18 sections. Sections 0 to 11 already existed in the previous versions of SCP-ECG and, although they have been significantly updated, they remain almost backwards compatible with SCP-ECG V1.x and V2.x, except for other than UTF-8 text strings encoding and beat subtraction or bimodal compression schemes which are no longer supported. Starting with SCP-ECG V3.0, only lossless compression (difference and Huffman encoding) of the long-term rhythm data (section 6) and of the reference beat type 0 data (section 5) are now allowed. In addition, to simplify encoding, the present standard recommends to store all ECG signal data uncompressed as a series of fixed length, signed integers and to reserve difference data calculation and Huffman encoding for mobile and/or wearable devices, when they are intended to be used in poorly served areas with limited wireless connectivity such as GPRS, where significant lossless data reduction strategies are still of importance. Converting legacy SCP-ECG V1.x and V2.x files into SCP-ECG V3.0 compliant files would thus only require to convert non UTF-8 text strings into UTF-8 and to store ECG

² Impacted by IEC/CD 80601-2-86 under development

signal data, if any, uncompressed. Sections 12 to 18, which are new, have been introduced to support the storage of continuous, long-term ECG recordings, of selected sequences of stress tests, drug trials and protocol-based ECG recordings, and the related metadata, measurements and annotations

All over the document, emphasis has been put on cross-referencing and providing a semantic mapping between the terminology and the methodologies used in SCP-ECG and the ISO/IEEE 11073-10102 Annotated ECG (aECG) [9] and 11073-10101 Nomenclature (Vital signs) [8] standards and on leveraging the ambiguities and inaccuracies of some of these other than SCP-ECG standards.

In section 1, SCP-ECG Drugs coding (Tag 10), Medical History codes (Tag 32) and Electrode configuration Codes (Tag 33) have been significantly updated to take account of the evolution of the medical needs, and two new tags have been introduced, respectively aimed at describing Implanted Cardiac Devices (Tag 36, based on the NASPE/BPEG coding systems [28], [29]) and at specifying drugs according to the WHO Anatomical Therapeutic Chemical Classification System (ATC code [43], Tag 37).

Section 4, formerly used to store QRS locations to allow beat subtraction for computing a “residual signal”, has been deprecated and shall no longer be implemented. But Section 4 is still mentioned in the present document to support decoding and conversion of legacy SCP-ECG version 1.x and 2.x files into SCP-ECG version V3.0 files.

The global and per-lead measurements sections have been significantly extended. The terminology used and the measurements and annotations provided have been harmonized with the aECG standard [9] and with the different recommendations and consensus papers (viz the need for introducing new measurements describing the early repolarization patterns) found in the scientific literature.

All measurements have been precisely defined, with the aim of unifying the way ECG measurements are performed and of serving as a reference for scientific work. Manufacturers using methods other than those recommended in SCP-ECG Version 3.0 are requested to specify the method they are using in the physician's guide.

Section 11, which aims to contain the most recent interpretation and overreading data, now allows three different coding schemes (in addition to free text): (1) according to the Universal Statement Codes and Coding Rules defined in Annex B; (2) based on the categorized AHA statement codes [21]; (3) according to the CDISC (Controlled Terminology. Clinical Data Interchange Standards Consortium) code [30].

The three different coding schemes may coexist, i.e. an interpretive statement encoded according to the SCP-ECG Universal Statement Codes and Coding Rules may concomitantly also be encoded according to the AHA and/or the CDISC code specifications.

Emphasis has also been put on extending and harmonizing the SCP-ECG Universal Statement Codes defined in Annex A with the AHA and CDISC statement codes and specifications, and with aECG [9] and DICOM [19].

Starting with version V3.0, in addition to the short duration resting ECG (section 6) and the corresponding type 0 reference beat (section 5), the standard now provides means of storing long-term ECG rhythm data in section 12, e.g. up to 40 days continuous recording of 3-Lead ECG signals sampled at 200 samples/sec with a 16 bit resolution, in section 14 several selected short to medium duration ECG sequences, and, in section 13, the related metadata and reference beats (or pointers to selected reference beats). These two additional sections have been included to support protocol-based ECG recordings, viz stress tests and drug trials procedures.

The format of section 12 is very similar to the ISHNE format [26]. In order to preserve random access to the record's segments, no compression or encoding is allowed in this section.

In addition to the full set of global measurements (section 7) and the per-lead measurements (section 10) of the type 0 reference beat, starting with version V3.0 the standard now allows the storage, in section 15, of several pre-defined global and per-lead beat measurements and annotations, for all or for only some computed or selected beats of the analysed signals (long-term and/or long-term ECGs stored in sections 12 and 14 and/or in section 6). The beats may have been selected one by one by a physician or

EN 1064:2020 (E)

by a beat typing algorithm (reference beats of different types, etc.), or may refer to the entire set of beats from one or more selected time windows within the long-term ECG stored in section 12 or in the long-term ECGs stored in sections 6 or 14.

In another scenario, one may choose to select and store the measurements and annotations for K preselected, not necessarily consecutive beats, in as much Measurement Blocks (MB) as there are selected beats, for thorough QT studies for example. To facilitate comparison with reference beats measurements, the standard also allows saving, in separate MBs, the measurements and annotations performed on the reference beats stored in sections 5 & 13.

Section 16 provides a solution for storing a different set of measurements and annotations than those stored in section 15 and is thus complementary to section 15. Its structure and format are much the same as for section 15, except that there is no provision for specifying analysis time windows and that there are no reserved fields for systematically storing the PP and RR intervals (the latter can nevertheless be stored, if need be, as optional additional measurements).

Section 16 is the preferred section for storing selected ECG beat measurements and annotations, if no beat-by-beat measurements and annotations are required (section 15 is not present).

Section 17 has been designed to include support for pre-defining and storing (much like the way used for storing beat-by-beat ECG measurements in section 15) large sets of global and/or per-lead spike measurements and annotations, spike-by-spike in one or more spike measurements array(s), one measurement array per analyzed ECG sequence (full long-term ECG record, selected ECG sequence) or reference beat.

Section 18 “Additional ECG annotations” provides a solution for storing any type of manually or automatically produced annotation which has not been stored in a systematic way in sections 7, 8, 10, 11 and 15 to 17, viz the onset (and end) of a bigeminal rhythm or atrial fibrillation, the identification of a pacemaker spike that was not listed in section 17, measurements that were not foreseen in sections 15 and 16 (or a few measurements like QT intervals in drug studies in case neither section 15 nor section 16 have been implemented), manual annotation of complex cases with different types of aberrant QRS complexes (LBBB aberrancy, etc.) and P waves (AV dissociation, etc.), noise annotations in a given lead, etc.

1 Scope

This document specifies the common conventions required for the interchange of specific patient data (demographic, recording conditions ...), ECG signal data and metadata, ECG measurements and ECG annotations, and ECG interpretation results.

This document specifies the content and structure of the information which is to be interchanged between digital ECG electrocardiographs/devices and computer ECG management systems, as well as other computer or information systems (cloud, etc.) where ECG data can be stored.

This document defines the way to describe and encode standard and medium to long-term electrocardiogram waveforms measured in physiological laboratories, hospital wards, clinics and primary care medical check-ups, ambulatory and home care. It covers electrocardiograms such as 12-lead, 15-lead, 18-lead, Cabrera lead, Nehb lead, Frank lead, XYZ lead, Holter ECGs and exercise ECGs that are recorded, measured and analysed by equipment such as electrocardiographs, patient monitors, wearable devices. It also covers intracardiac electrograms recorded by implantable devices as well as the analysis results of ECG analysis and interpretation systems and software that are compatible with SCP-ECG.

ECG waveforms and data that are not in the scope of this technical specification include real-time ECG waveform encoding and analysis used for physiological monitors, and intra-cardiac or extra cardiac ECG mapping.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 10646, *Information technology — Universal Coded Character Set (UCS)*

ISO/IEEE 11073-10101:—³, *Health informatics — Point-of-care medical device communication — Part 10101: Nomenclature*

ISO/IEEE 11073-10102:2014, *Health informatics — Point-of-care medical device communication — Part 10102: Nomenclature — Annotated ECG*

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <http://www.iso.org/obp>

3.1

acquiring cardiograph / acquiring device

cardiograph/device recording the original ECG signal

³ Under preparation. Stage at time of publication: ISO/IEEE FDIS 11073-10101:2020

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