



NSAI
Standards

Irish Standard
I.S. EN ISO 11737-2:2009

Sterilization of medical devices -
Microbiological methods - Part 2: Tests of
sterility performed in the definition,
validation and maintenance of a
sterilization process (ISO 11737-2:2009)

I.S. EN ISO 11737-2:2009

Incorporating amendments/corrigenda issued since publication:

<i>This document replaces:</i> EN ISO 11737-2:2000	<i>This document is based on:</i> EN ISO 11737-2:2009 EN ISO 11737-2:2000	<i>Published:</i> 15 November, 2009 23 June, 2000
This document was published under the authority of the NSAI and comes into effect on: 17 December, 2009		ICS number: 07.100.10 11.080.01
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Údarás um Chaighdeáin Náisiúnta na hÉireann		

English Version

Sterilization of medical devices - Microbiological methods - Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process (ISO 11737-2:2009)

Stérilisation des dispositifs médicaux - Méthodes
microbiologiques - Partie 2: Contrôles de stérilité pratiqués
au moment de la définition, de la validation et de la
maintenance d'un procédé de stérilisation (ISO 11737-
2:2009)

Sterilisation von Medizinprodukten - Mikrobiologische
Verfahren - Teil 2: Prüfungen der Sterilität bei der
Definition, Validierung und Aufrechterhaltung eines
Sterilisationsverfahrens für Medizinprodukte (ISO 11737-
2:2009)

This European Standard was approved by CEN on 28 October 2009.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN Management Centre or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN Management Centre has the same status as the official versions.

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Contents	Page
Foreword.....	3
Annex ZA (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 90/385/EEC on Active Implantable Medical Devices	4
Annex ZB (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 93/42/EEC on Medical Devices	5
Annex ZC (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 98/79/EC on <i>in vitro</i> diagnostic medical devices.....	6

Foreword

This document (EN ISO 11737-2:2009) has been prepared by Technical Committee ISO/TC 198 "Sterilization of health care products" in collaboration with Technical Committee CEN/TC 204 "Sterilization of medical devices" the secretariat of which is held by BSI.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by May 2010, and conflicting national standards shall be withdrawn at the latest by May 2010.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

This document supersedes EN ISO 11737-2:2000.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directives.

For relationship with EU Directives, see informative Annexes ZA, ZB and ZC, which are integral parts of this document.

According to the CEN/CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland and the United Kingdom.

Endorsement notice

The text of ISO 11737-2:2009 has been approved by CEN as a EN ISO 11737-2:2009 without any modification.

Annex ZA (informative)

Relationship between this European Standard and the Essential Requirements of EU Directive 90/385/EEC on Active Implantable Medical Devices

This European Standard has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association to provide a means of conforming to Essential Requirements of the New Approach Directive 90/385/EEC on active implantable medical devices.

Once this standard is cited in the Official Journal of the European Union under that Directive and has been implemented as a national standard in at least one Member State, compliance with the clauses of this standard given in Table ZA.1 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding Essential Requirements of that Directive and associated EFTA regulations.

Table ZA.1 — Correspondence between this European Standard and Directive 90/385/EEC

Clauses of this European Standard	Essential Requirements (ERs) of Directive 90/385/EEC	Qualifying remarks/Notes
4,5,6,7,8	7	This relevant Essential Requirement is only partly addressed in this European Standard

WARNING: Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this standard.

Annex ZB (informative)

Relationship between this European Standard and the Essential Requirements of EU Directive 93/42/EEC on Medical Devices

This European Standard has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association to provide a means of conforming to Essential Requirements of the New Approach Directive 93/42/EEC on medical devices.

Once this standard is cited in the Official Journal of the European Union under that Directive and has been implemented as a national standard in at least one Member State, compliance with the clauses of this standard given in Table ZB.1 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding Essential Requirements of that Directive and associated EFTA regulations.

Table ZB.1 — Correspondence between this European Standard and Directive 93/42/EEC

Clauses of this European Standard	Essential Requirements (ERs) of Directive 93/42/EEC	Qualifying remarks/Notes
4,5,6,7,8	8.3	This relevant Essential Requirement is only partly addressed in this European Standard
4,5,6,7,8	8.4	This relevant Essential Requirement is only partly addressed in this European Standard

WARNING — Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this standard.

Annex ZC (informative)

Relationship between this European Standard and the Essential Requirements of EU Directive 98/79/EC on *in vitro* diagnostic medical devices

This European Standard has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association to provide a means of conforming to Essential Requirements of the New Approach Directive 98/79/EC on *in vitro* diagnostic medical devices.

Once this standard is cited in the Official Journal of the European Union under that Directive and has been implemented as a national standard in at least one Member State, compliance with the clauses of this standard given in Table ZC.1 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding Essential Requirements of that Directive and associated EFTA regulations.

Table ZC.1 — Correspondence between this European Standard and Directive 98/79/EC

Clauses of this European Standard	Essential Requirements (ERs) of Directive 98/79/EC	Qualifying remarks/Notes
4,5,6,7,8	B.2.3	This relevant Essential Requirement is only partly addressed in this European Standard
4,5,6,7,8	B.2.4	This relevant Essential Requirement is only partly addressed in this European Standard

WARNING — Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this standard.

I.S. EN ISO 11737-2:2009
**INTERNATIONAL
STANDARD**

**ISO
11737-2**

Second edition
2009-11-15

**Sterilization of medical devices —
Microbiological methods —**

Part 2:

**Tests of sterility performed in the
definition, validation and maintenance of
a sterilization process**

Stérilisation des dispositifs médicaux — Méthodes microbiologiques —

Partie 2: Essais de stérilité pratiqués au moment de la définition, de la validation et de la maintenance d'un procédé de stérilisation



Reference number
ISO 11737-2:2009(E)

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Published in Switzerland

Contents

Page

Foreword	iv
Introduction.....	v
1 Scope	1
2 Normative references	1
3 Terms and definitions	1
4 Quality management system elements	3
4.1 Documentation	3
4.2 Management responsibility	3
4.3 Product realization	4
4.4 Measurement, analysis and improvement	4
5 Selection of product	4
5.1 General	4
5.2 Sample item portion (SIP).....	4
5.3 Packaging of product and sample item portions	5
6 Methods for performing tests of sterility	5
7 Assessment of method for performing tests of sterility	6
8 Maintenance of the method for performing tests of sterility	6
Annex A (informative) Guidance on tests of sterility performed in validation and maintenance of a sterilization process	7
Bibliography.....	15

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 11737-2 was prepared by Technical Committee ISO/TC 198, *Sterilization of health care products*.

This second edition cancels and replaces the first edition (ISO 11737-2:1998) which has been technically revised.

ISO 11737 consists of the following parts, under the general title *Sterilization of medical devices — Microbiological methods*:

- *Part 1: Determination of a population of microorganisms on products*
- *Part 2: Tests of sterility performed in the definition, validation and maintenance of a sterilization process*

Introduction

A sterile medical device is one that is free from viable microorganisms. International Standards that specify requirements for validation and routine control of sterilization processes require, when it is necessary to supply a sterile medical device, that adventitious microbiological contamination of a medical device from all sources be minimized. Even so, medical devices produced under standard manufacturing conditions in accordance with the requirements for quality management systems (see, for example, ISO 13485) may, prior to sterilization, have microorganisms on them, albeit in low numbers. Such products are non-sterile. The purpose of sterilization is to inactivate the microbiological contaminants and thereby transform the non-sterile products into sterile ones.

The kinetics of inactivation of a pure culture of microorganisms by physical and/or chemical agents used to sterilize medical devices can generally best be described by an exponential relationship between the numbers of microorganisms surviving and the extent of treatment with the sterilizing agent; inevitably this means that there is always a finite probability that a microorganism may survive regardless of the extent of treatment applied. For a given treatment, the probability of survival is determined by the number and resistance of microorganisms and by the environment in which the organisms exist during treatment. It follows that the sterility of any one item in a population subjected to sterilization processing cannot be guaranteed and the sterility of a processed population is defined in terms of the probability of there being a viable microorganism present on a product item.

Generic requirements of the quality management system for design and development, production, installation and servicing are given in ISO 9001^[16] and particular requirements for quality management systems for medical device production are given in ISO 13485. The standards for quality management systems recognise that, for certain processes used in manufacturing, the effectiveness of the process cannot be fully verified by subsequent inspection and testing of the product. Sterilization is an example of such a process. For this reason, sterilization processes are validated for use, the performance of the sterilization process is monitored routinely and the equipment is maintained.

International Standards specifying procedures for the development, validation and routine control of the processes used for sterilization of medical devices have been prepared (see ISO 11135-1^[1], ISO 11137-1^[3], ISO 14937^[12], ISO 14160^[7], ISO 17665-1^[13] and ISO 20857^[14]). An element of validation might consist of exposing medical devices to the sterilizing agent with the extent of treatment being reduced relative to that which will be used in routine sterilization processing, in order to provide a knowledge of the resistance to the agent of the microbial contamination as it occurs naturally on medical devices. Subsequent to this exposure, medical devices are subjected individually to tests of sterility as described in this part of ISO 11737. Examples of the use of such tests are in a) establishing a dose for sterilization by radiation, and b) demonstrating the continued validity of an established sterilization dose.

Annex A of this part of ISO 11737 gives guidance on the techniques used and on practical aspects of the requirements.

Sterilization of medical devices — Microbiological methods —

Part 2:

Tests of sterility performed in the definition, validation and maintenance of a sterilization process

1 Scope

1.1 This part of ISO 11737 specifies the general criteria for tests of sterility on medical devices that have been exposed to a treatment with the sterilizing agent reduced relative to that anticipated to be used in routine sterilization processing. These tests are intended to be performed when defining, validating or maintaining a sterilization process.

1.2 This part of ISO 11737 is not applicable to:

- a) sterility testing for routine release of product that has been subjected to a sterilization process;
- b) performing a test for sterility (see 3.12);

NOTE 1 The performance of a) or b) is not a requirement of ISO 11135-1, ISO 11137-1, ISO 14160, ISO 14937 or ISO 17665-1.

- c) culturing of biological indicators or inoculated products.

NOTE 2 Guidance on culturing biological indicators is included in ISO 14161^[8].

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 10012, *Measurement management systems — Requirements for measurement processes and measuring equipment*

ISO 11737-1:2006, *Sterilization of medical devices — Microbiological methods — Part 1: Determination of a population of microorganisms on products*

ISO 13485:2003, *Medical devices — Quality management systems — Requirements for regulatory purposes*

ISO/IEC 17025:2005, *General requirements for the competence of testing and calibration laboratories*

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1

aerobic organism

microorganism that requires oxygen for metabolism

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