



NSAI
Standards

Irish Standard
I.S. EN ISO 14155-1:2009

Clinical investigation of medical devices for human subjects - Part 1: General requirements (ISO 14155-1:2003)

I.S. EN ISO 14155-1:2009

Incorporating amendments/corrigenda issued since publication:

<i>This document replaces:</i> EN ISO 14155-1:2003	<i>This document is based on:</i> EN ISO 14155-1:2009 EN ISO 14155-1:2003	<i>Published:</i> 15 July, 2009 16 May, 2003
This document was published under the authority of the NSAI and comes into effect on: 9 September, 2009		ICS number: 11.100.20
NSAI 1 Swift Square, Northwood, Santry Dublin 9	T +353 1 807 3800 F +353 1 807 3838 E standards@nsai.ie W NSAI.ie	Sales: T +353 1 857 6730 F +353 1 857 6729 W standards.ie
Price Code: J		
Údarás um Chaighdeáin Náisiúnta na hÉireann		

I.S. EN ISO 14155-1:2009

EUROPEAN STANDARD

EN ISO 14155-1

NORME EUROPÉENNE

EUROPÄISCHE NORM

July 2009

ICS 11.100.20

Supersedes EN ISO 14155-1:2003

English Version

**Clinical investigation of medical devices for human subjects -
Part 1: General requirements (ISO 14155-1:2003)**

Investigation clinique des dispositifs médicaux pour sujets
humains - Partie 1: Exigences générales (ISO 14155-
1:2003)

Klinische Prüfung von Medizinprodukten an Menschen -
Teil 1: Allgemeine Anforderungen (ISO 14155-1:2003)

This European Standard was approved by CEN on 27 June 2009.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN Management Centre or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN Management Centre has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION
COMITÉ EUROPÉEN DE NORMALISATION
EUROPÄISCHES KOMITEE FÜR NORMUNG

Management Centre: Avenue Marnix 17, B-1000 Brussels

Contents

Page

Foreword	3
Annex ZA (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 93/42/EEC on Medical Devices	4
Annex ZB (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 90/385/EEC on Active Implantable Medical Devices	5

Foreword

The text of ISO 14155-1:2003 has been prepared by Technical Committee ISO/TC 194 “Biological evaluation of medical devices” of the International Organization for Standardization (ISO) and has been taken over as EN ISO 14155-1:2009 by Technical Committee CEN/TC 258 “Clinical investigation of medical devices” the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by January 2010, and conflicting national standards shall be withdrawn at the latest by March 2010.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

This document supersedes EN ISO 14155-1:2003.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EC Directives.

For relationship with EC Directives, see informative Annex ZA and ZB, which are an integral part of this document.

According to the CEN/CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland and the United Kingdom.

Endorsement notice

The text of ISO 14155-1:2003 has been approved by CEN as a EN ISO 14155-1:2009 without any modification.

Annex ZA (informative)

Relationship between this European Standard and the Essential Requirements of EU Directive 93/42/EEC on Medical Devices

This European Standard has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association to provide a means of conforming to Essential Requirements of the New Approach Directive 93/42/EEC on medical devices.

Once this standard is cited in the Official Journal of the European Communities under that Directive and has been implemented as a national standard in at least one Member State, compliance with the clauses of this standard given in table ZA confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding Essential Requirements of that Directive and associated EFTA regulations.

Table ZA — Correspondence between this European Standard and Directive 93/42/EEC on medical devices

Clause(s)/sub-clause(s) of this EN	Essential Requirements (ERs) of Directive 93/42/EEC	Qualifying remarks/Notes
A.2.5	Annex X: 1.1.1	This requirement is also addressed in 4.5.1 of EN 14155-2:2003
11	Annex X: 1.1.2	This requirement is also addressed in 4.5.3 of EN 14155-2:2003
	Annex X: 1.1.a)	This requirement is not addressed in this standard
7	Annex X: 1.1.b)	This requirement is partly addressed in this standard
	Annex X: 1.1.c)	This requirement is not addressed in this standard
	Annex X: 1.1.d)	This requirement is not addressed in this standard
5	Annex X: 2.2	
6.3	Annex X: 2.3.1	Entire EN 14155-2
6.4	Annex X: 2.3.2	This requirement is also addressed in 4.7 of EN 14155-2:2003
6.4	Annex X: 2.3.3	This requirement is also addressed in 4.7 of EN 14155-2:2003
	Annex X: 2.3.4	This requirement is not addressed in this standard This requirement is addressed in 4.5.4 of EN 14155-2:2003
8.2 i)	Annex X: 2.3.5	This requirement is also addressed in 4.11 of EN 14155-2:2003
10.2 a)	Annex X: 2.3.6	
11.2	Annex X: 2.3.7	

WARNING — Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this standard.

Annex ZB (informative)

Relationship between this European Standard and the Essential Requirements of EU Directive 90/385/EEC on Active Implantable Medical Devices

This European Standard has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association to provide a means of conforming to Essential Requirements of the New Approach Directive 90/385/EEC on active implantable medical devices.

Once this standard is cited in the Official Journal of the European Communities under that Directive and has been implemented as a national standard in at least one Member State, compliance with the clauses of this standard given in table ZB confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding Essential Requirements of that Directive and associated EFTA regulations.

Table ZB — Correspondence between this European Standard and Directive 90/385/EEC on active implantable medical devices

Clause(s)/sub-clause(s) of this EN	Essential Requirements (ERs) of Directive 90/385/EEC	Qualifying remarks/Notes
A.2.5	Annex 7: 1.1.1	This requirement is also addressed in 4.5.1 of EN 14155-2:2003
11	Annex 7: 1.1.2	This requirement is also addressed in 4.5.3 of EN 14155-2:2003
A.2.5	Annex 7: 1.2	
7	Annex 7: 1.3	
	Annex 7: 1.4	This requirement is not addressed in this standard
	Annex 7: 1.5	This requirement is not addressed in this standard
6.3	Annex 7: 2.3.1	Entire EN 14155
6.4	Annex 7: 2.3.2	This requirement is also addressed in 4.7 of EN 14155-2:2003
6.4	Annex 7: 2.3.3	This requirement is also addressed in 4.7 of EN 14155-2:2003
6.5	Annex 7: 1.6	
	Annex 7: 2.3.4	This requirement is not addressed in this standard This requirement is addressed in 4.5.4 of EN 14155-2:2003
8.2 i)	Annex 7: 2.3.5	This requirement is also addressed in 4.11 of EN 14155-2:2003
10.2 a)	Annex 7: 2.3.6	
11.2	Annex 7: 2.3.7	

WARNING — Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this standard.

This page is intentionally left BLANK.

I.S. EN ISO 14155-1:2009

INTERNATIONAL STANDARD

ISO
14155-1

First edition
2003-02-15

Clinical investigation of medical devices for human subjects —

Part 1: General requirements

*Investigation clinique des dispositifs médicaux pour sujets humains —
Partie 1: Exigences générales*



Reference number
ISO 14155-1:2003(E)

© ISO 2003

PDF disclaimer

This PDF file may contain embedded typefaces. In accordance with Adobe's licensing policy, this file may be printed or viewed but shall not be edited unless the typefaces which are embedded are licensed to and installed on the computer performing the editing. In downloading this file, parties accept therein the responsibility of not infringing Adobe's licensing policy. The ISO Central Secretariat accepts no liability in this area.

Adobe is a trademark of Adobe Systems Incorporated.

Details of the software products used to create this PDF file can be found in the General Info relative to the file; the PDF-creation parameters were optimized for printing. Every care has been taken to ensure that the file is suitable for use by ISO member bodies. In the unlikely event that a problem relating to it is found, please inform the Central Secretariat at the address given below.

© ISO 2003

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Case postale 56 • CH-1211 Geneva 20
Tel. + 41 22 749 01 11
Fax + 41 22 749 09 47
E-mail copyright@iso.org
Web www.iso.org

Published in Switzerland

Contents

Page

Foreword	v
Introduction	vi
1 Scope	1
2 Normative references	1
3 Terms and definitions	2
4 Justification for a clinical investigation	5
5 Ethical considerations	5
5.1 Declaration of Helsinki	5
5.2 Improper influence or inducement	5
5.3 Compensation and additional health care	5
5.4 Responsibilities	5
6 General requirements	5
6.1 Formal agreement(s)	5
6.2 Qualifications	5
6.3 Clinical investigation plan	6
6.4 Design of the clinical investigation	6
6.5 Confidentiality	6
6.6 Start of clinical investigation	6
6.7 Informed consent	6
6.8 Suspension or early termination of the clinical investigation	8
6.9 Document and data control	8
6.10 Accounting for subjects	9
6.11 Access to preclinical and clinical information	9
6.12 Auditing	9
7 Documentation	9
7.1 General	9
7.2 Clinical investigator's brochure	9
7.3 Other documents	10
8 Sponsor	10
8.1 General	10
8.2 Responsibilities of sponsor	10
9 Monitor	11
9.1 Responsibilities of monitor	11
10 Clinical investigator	12
10.1 General	12
10.2 Qualification of clinical investigator	12
10.3 Responsibilities of clinical investigator	12
11 Final report	14
11.1 Presentation of results	14
11.2 Contents of the final report	14

I.S. EN ISO 14155-1:2009

ISO 14155-1:2003(E)

Annex A (informative) Suggested procedure for literature review	15
Annex B (informative) Information for the ethics committees	17
Annex C (informative) Final reports of clinical investigations with medical devices	18
Bibliography.....	21

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 14155-1 was prepared by Technical Committee ISO/TC 194, *Biological evaluation of medical devices*.

This first edition of ISO 14155-1, together with ISO 14155-2, cancels and replace ISO 14155:1996, which has been technically revised.

ISO 14155 consists of the following parts, under the general title *Clinical investigation of medical devices for human subjects*:

- *Part 1: General requirements*
- *Part 2: Clinical investigation plans*

Introduction

This part of ISO 14155 is intended to be applied worldwide to clinical investigations of medical devices in order to fulfil the technical aspects of the various national, regional and international regulatory requirements. As the legal regulatory requirements presently differ throughout the world, regulatory specifics have been excluded from the scope of this part of ISO 14155. They are part of national or regional legislative texts and can be referenced in the national or regional forewords, as appropriate.

Clinical investigation of medical devices for human subjects —

Part 1: General requirements

1 Scope

This part of ISO 14155 defines procedures for the conduct and performance of clinical investigations of medical devices. It specifies general requirements intended to

- protect human subjects,
- ensure the scientific conduct of the clinical investigation,
- assist sponsors, monitors, investigators, ethics committees, regulatory authorities and bodies involved in the conformity assessment of medical devices.

This part of ISO 14155

- a) specifies requirements for the conduct of a clinical investigation such that it establishes the performance of the medical device during the clinical investigation intended to mimic normal clinical use, reveals adverse events under normal conditions of use, and permits assessment of the acceptable risks having regard to the intended performance of the medical device,
- b) specifies requirements for the organization, conduct, monitoring, data collection and documentation of the clinical investigation of a medical device,
- c) is applicable to all clinical investigation(s) of medical devices whose clinical performance and safety is being assessed in human subjects.

This part of ISO 14155 is not applicable to *in vitro* diagnostic medical devices.

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 14155-2, *Clinical investigation of medical devices for human subjects — Part 2: Clinical investigation plans*

This is a free preview. Purchase the entire publication at the link below:

[Product Page](#)

-
- Looking for additional Standards? Visit Intertek Inform Infostore
 - Learn about LexConnect, All Jurisdictions, Standards referenced in Australian legislation
-