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Standard Recommendation S.R. CWA 14050-25:2000

Extensions for Financial Services (XFS) interface specification - Release 3.0 - Part 25: Identification Card Device Class Interface - PC/SC Integration Guidelines

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WORKSHOP AGREEMENT

CWA 14050-25

November 2000

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Extensions for Financial Services (XFS) interface specification -Release 3.0 - Part 25: Identification Card Device Class Interface - PC/SC Integration Guidelines

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Table of Contents

Folew	Foreword3		
1. Int	roduction	5	
1.1	Background to Release 3.0	5	
1.2	PC/SC Background		
1 3	Beferences	6	
131	XFS specifications	0 6	
1.3.2	PC/SC Workgroup specifications		
1.3.3	International standards.		
1.3.4	Microsoft documentation		
1.4	Glossary	7	
2. PC	/SC Overview	8	
2.1	Architecture	8	
2.1.1	Integrated Circuit Card (ICC)		
2.1.2	Interface Device (IFD)	9	
2.1.3	Interface Device Handler	9	
2.1.4	ICC Resource Manager	9	
2.1.5	Service Provider		
2.1.6	ICC Service Provider	9	
2.2	XFS IDC Capabilities not directly exposed by Smart Card subsystem	10	
2.2.1	Motorized Card Readers		
2.2.2	Magnetic tracks		
2.2.3	Associated Security Module		
3. Are	chitectural Overview	12	
3.1	Generic Model		
3.1 3.1.1	Generic Model IFD Service Provider	12 13	
3.1 3.1.1 3.1.2	Generic Model IFD Service Provider ICC Service Provider		
3.1 3.1.1 3.1.2 3.1.3	Generic Model IFD Service Provider ICC Service Provider XFS IDC Service Provider generic implementation	12 13 13 13 13	
3.1 3.1.1 3.1.2 3.1.3 3.2	Generic Model IFD Service Provider ICC Service Provider XFS IDC Service Provider generic implementation New Interfaces.	12 13 13 13 13 13 13 13 13	
 3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers		
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards	12 13 13 13 13 13 13 13 14 14	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards Service Provider COM-based interfaces	12 13 13 13 13 13 13 14 14 15	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards Service Provider COM-based interfaces	12 13 13 13 13 13 13 14 14 15	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards D Service Provider COM-based interfaces IFD Service Provider	12 13 13 13 13 13 13 13 13 14 14 15	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards Service Provider COM-based interfaces IFD Service Provider IFD Service Provider	12 13 13 13 13 13 13 14 14 15 16	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2 4.2.1	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards Service Provider COM-based interfaces IFD Service Provider IFD Service Provider Properties	12 13 13 13 13 13 13 14 14 15 15 16 16	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2 4.2.1 4.2.2	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards Service Provider COM-based interfaces IFD Service Provider IFD Service Provider Properties Methods	12 13 13 13 13 13 13 14 14 15 15 16 16 16	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2 4.2.1 4.2.2 4.3	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards Service Provider COM-based interfaces IFD Service Provider IFD Service Provider IFD Service Provider IXFSIFDManage Properties Methods	12 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 14 14 14 15 15 15 16 16 16 16	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2 4.2.1 4.2.2 4.3 4.3.1	Generic Model IFD Service Provider XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards Service Provider COM-based interfaces IFD Service Provider IFD Service Provider IXFSIFDManage Properties	12 13 13 13 13 13 13 13 13 13 14 14 15 15 16 16 16 16 16 16	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2.1 4.2.1 4.2.2 4.3.1 4.3.1 4.3.2	Generic Model IFD Service Provider ICC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards D Service Provider COM-based interfaces IFD Service Provider IFD Service Provider IXFSIFDManage Properties Methods	12 13 13 13 13 13 13 13 13 13 14 14 15 15 16 16 16 16 17	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2.2 4.2.1 4.2.2 4.3 4.3.1 4.3.2 4.4	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards Service Provider COM-based interfaces IFD Service Provider IFD Service Provider IXFSIFDManage Properties	12 13 13 13 13 13 13 13 13 13 14 14 15 15 16 16 16 16 17	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2 4.2.1 4.2.2 4.3 4.3.1 4.3.2 4.4 4.4.1	Generic Model IFD Service Provider ICC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards O Service Provider COM-based interfaces IFD Service Provider IFD Service Provider IXFSIFDManage Properties Methods IXFSIFDMotorized Properties Methods IXFSIFDMagneticTracks Properties Properties	12 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 14 14 14 14 15 15 16 16 16 17 17	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2 4.2.1 4.2.2 4.3 4.3.1 4.3.2 4.4 4.4.1 4.4.2	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards D Service Provider COM-based interfaces IFD Service Provider IFD Service Provider IXFSIFDManage Properties Methods IXFSIFDMagneticTracks Properties Methods	12 13 13 13 13 13 13 13 13 14 14 14 15 15 16 16 16 17 17 17 17	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2.1 4.2.2 4.3.1 4.3.2 4.4.1 4.4.2 4.4.1 4.4.2 4.5	Generic Model IFD Service Provider	12 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 14 14 14 15 15 15 16 16 16 17 17 17 17 17 17 17 17 17 17 17 17 17 18	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2.2 4.3.1 4.3.2 4.3.1 4.3.2 4.4.1 4.4.2 4.5 4.5	Generic Model IFD Service Provider ICC Service Provider generic implementation XFS IDC Service Provider generic implementation New Interfaces Integration of card readers Integration of smart cards D Service Provider COM-based interfaces IFD Service Provider IFD Service Provider IXFSIFDManage Properties	12 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 14 14 14 14 14 15 15 15 16 16 16 17 17 17 17 17 18 10	
3.1 3.1.1 3.1.2 3.1.3 3.2 3.3 3.4 4. IFE 4.1 4.2 4.2.1 4.2.2 4.3 4.3.1 4.3.2 4.4 4.4.1 4.4.2 4.5.1 4.5.1 4.5.2	Generic Model IFD Service Provider. ICC Service Provider generic implementation. New Interfaces Integration of card readers Integration of smart cards O Service Provider COM-based interfaces IFD Service Provider IFD Service Provider IXFSIFDManage Properties	12 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 13 14 14 14 14 15 15 16 16 16 16 17 17 17 17 17 17 18 18 18	

Foreword

This CWA is revision 3.0 of the XFS interface specification.

The move from an XFS 2.0 specification (CWA 13449) to a 3.0 specification has been prompted by a series of factors.

Initially, there has been a technical imperative to extend the scope of the existing specification of the XFS Manager to include new devices, such as the Card Embossing Unit.

Similarly, there has also been pressure, through implementation experience and the advance of the Microsoft technology, to extend the functionality and capabilities of the existing devices covered by the specification.

Finally, it is also clear that our customers and the market are asking for an update to a specification, which is now over 2 years old. Increasing market acceptance and the need to meet this demand is driving the Workshop towards this release.

The clear direction of the CEN/ISSS XFS Workshop, therefore, is the delivery of a new Release 3.0 specification based on a C API. It will be delivered with the promise of the protection of technical investment for existing applications and the design to safeguard future developments.

The CEN/ISSS XFS Workshop gathers suppliers as well as banks and other financial service companies. A list of companies participating in this Workshop and in support of this CWA is available from the CEN/ISSS Secretariat.

This CWA was formally approved by the XFS Workshop meeting on 2000-10-18. The specification is continuously reviewed and commented in the CEN/ISSS Workshop on XFS. It is therefore expected that an update of the specification will be published in due time as a CWA, superseding this revision 3.0.

The CWA is published as a multi-part document, consisting of:

Part 1: Application Programming Interface (API) - Service Provider Interface (SPI); Programmer's Reference

Part 2: Service Classes Definition; Programmer's Reference

Part 3: Printer Device Class Interface - Programmer's Reference

Part 4: Identification Card Device Class Interface - Programmer's Reference

Part 5: Cash Dispenser Device Class Interface - Programmer's Reference

Part 6: PIN Keypad Device Class Interface - Programmer's Reference

Part 7: Check Reader/Scanner Device Class Interface - Programmer's Reference

Part 8: Depository Device Class Interface - Programmer's Reference

Part 9: Text Terminal Unit Device Class Interface - Programmer's Reference

Part 10: Sensors and Indicators Unit Device Class Interface - Programmer's Reference

Part 11: Vendor Dependent Mode Device Class Interface - Programmer's Reference

Part 12: Camera Device Class Interface - Programmer's Reference

Part 13: Alarm Device Class Interface - Programmer's Reference

Part 14: Card Embossing Unit Class Interface - Programmer's Reference

Part 15: Cash In Module Device Class Interface- Programmer's Reference

Part 16: Application Programming Interface (API) - Service Provider Interface (SPI) - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Part 17: Printer Device Class Interface - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Part 18: Identification Card Device Class Interface - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Page 4 CWA 14050-25:2000

Part 19: Cash Dispenser Device Class Interface - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Part 20: PIN Keypad Device Class Interface - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Part 21: Depository Device Class Interface - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Part 22: Text Terminal Unit Device Class Interface - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Part 23: Sensors and Indicators Unit Device Class Interface - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Part 24: Camera Device Class Interface - Migration from Version 2.0 (see CWA 13449) to Version 3.0 (this CWA) - Programmer's Reference

Part 25: Identification Card Device Class Interface - PC/SC Integration Guidelines

In addition to these Programmer's Reference specifications, the reader of this CWA is also referred to a complementary document, called Release Notes. The Release Notes contain clarifications and explanations on the CWA specifications, which are not requiring functional changes. The current version of the Release Notes is available online from http://www.cenorm.be/isss/Workshop/XFS.

The information in this document represents the Workshop's current views on the issues discussed as of the date of publication. It is furnished for informational purposes only and is subject to change without notice. CEN/ISSS makes no warranty, express or implied, with respect to this document.

Revision History:

3.00 October 18, 2000

First edition

1. Introduction

1.1 Background to Release 3.0

The CEN XFS Workshop is a continuation of the Banking Solution Vendors Council workshop and maintains a technical commitment to the Win 32 API. However, the XFS Workshop has extended the franchise of multi vendor software by encouraging the participation of both banks and vendors to take part in the deliberations of the creation of an industry standard. This move towards opening the participation beyond the BSVC's original membership has been very succesful with a current membership level of more than 20 companies.

The fundamental aims of the XFS Workshop are to promote a clear and unambiguous specification for both service providers and application developers. This has been achieved to date by sub groups working electronically and quarterly meetings.

The move from an XFS 2.0 specification to a 3.0 specification has been prompted by a series of factors. Initially, there has been a technical imperative to extend the scope of the existing specification of the XFS Manager to include new devices, such as the Card Embossing Unit.

Similarly, there has also been pressure, through implementation experience and the advance of the Microsoft technology, to extend the functionality and capabilities of the existing devices covered by the specification.

Finally, it is also clear that our customers and the market are asking for an update to a specification, which is now over 2 years old. Increasing market acceptance and the need to meet this demand is driving the Workshop towards this release.

The clear direction of the XFS Workshop, therefore, is the delivery of a new Release 3.0 specification based on a C API. It will be delivered with the promise of the protection of technical investment for existing applications and the design to safeguard future developments.

1.2 PC/SC Background

As part of the XFS specification, the IDC (Identification Card) Device Class includes the following support for ICC (Integrated Circuit Card, also referred as chip) management, in respect to ISO 7816 (contacted) and ISO 10536 (contactless) international standards:

- retrieve ATR (Answer To Reset), when contacting the chip through a WFS_CMD_IDC_READ_RAW_DATA command;
- communicate with the chip, through WFS_CMD_IDC_CHIP_IO commands;
- handle power management, through the WFS_CMD_IDC_CHIP_POWER command.

Although these mechanisms provide independence to the channel used to exchange data with the chip, through the card reader, it does not provide independence to a given subset of capabilities provided by software embedded on the chip, which may offer the same level of functionality, but may be implemented in different ways for chips manufactured by different vendors.

The smart card subsystem provided in the Win32® environment follows the specifications published by the PC/SC Workgroup, including the following components of interest:

- a resource manager providing access to readers and smart cards,
- several COM-based smart card service providers, each providing access to a subset of capabilities for a chip.

The PC/SC (Personal Computer / Smart Card) Workgroup was formed in May 1996 in partnership with major PC and smart card companies. The main focus of the workgroup has been to develop specifications that ensure interoperability among smart cards, smart card readers, and computers made by different manufacturers. Version 1.0 of these specifications were released in December 1997.

This document aims to describe the relation between XFS and PC/SC and to provide guidelines for integration of PC/SC compliant readers in the XFS subsystem, ensuring compatibility with future extensions to the IDC Device Class.



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